

July 12, 2021

The Philippine Stock Exchange, Inc.  
6<sup>th</sup> Floor PSE Tower  
28<sup>th</sup> corner 5<sup>th</sup> Avenue  
Bonifacio Global City  
Taguig City

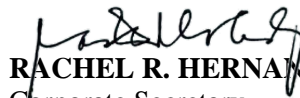
Attention: **Ms. Janet A. Encarnacion**  
*Head, Disclosure Department*

Gentlemen:

In compliance with the Revised Disclosure Rules of the Exchange, we are hereby submitting the list of top 100 stockholders for the preferred shares of First Gen Corporation based on the records of the corporation's stock transfer agent as of June 30, 2021

Thank you.

Very truly yours,



**RACHEL R. HERNANDEZ**  
Corporate Secretary

**FIRST GEN CORPORATION  
LIST OF TOP 100 STOCKHOLDERS  
AS OF JUNE 30, 2021  
SERIES G PREFERRED SHARES**

| <b>RANK</b> | <b>NAME</b>   | <b>NUMBER OF SHARES</b> | <b>PERCENTAGE</b> |
|-------------|---|-------------------------|-------------------|
| 1           | PCD NOMINEE CORPORATION (FILIPINO)  | 50,553,545              | 75.90%            |
| 2           | FIRST PHILIPPINE HOLDINGS CORPORATION   | 13,750,000              | 20.64%            |
| 3           | LOPEZ, INC.   | 500,000                 | 0.75%             |
| 4           | FIRST PHILIPPINE HOLDINGS CORPORATION PENSION FUND  | 300,000                 | 0.45%             |
| 5           | CROSLO HOLDINGS CORPORATION   | 200,000                 | 0.30%             |
| 6           | EUGENIO LOPEZ FOUNDATION, INC.  | 200,000                 | 0.30%             |
| 7           | PCD NOMINEE CORPORATION (FOREIGN)   | 193,300                 | 0.29%             |
| 8           | PERLA R. CATAHAN &/OR ROBERTO B. CATAHAN  | 100,000                 | 0.15%             |
| 9           | OSCAR M. LOPEZ &/OR CONSUELO R. LOPEZ   | 100,000                 | 0.15%             |
| 10          | LOPEZ HOLDINGS CORPORATION  | 100,000                 | 0.15%             |
| 11          | ANTONIO GUAY TINSAY &/OR JOIE CLARAVALL TINSAY &/OR IRENE CLARAVALL TINSAY  | 75,000                  | 0.11%             |
| 12          | ALEXANDER TAN SOLIS &/OR GINA TAN SINFUEGO  | 50,000                  | 0.08%             |
| 13          | G. D. TAN & CO., INC.   | 40,000                  | 0.06%             |
| 14          | ENRIQUE C. CLEMENTE, III  | 30,000                  | 0.05%             |
| 15          | MARIANELA A. WIENEKE &/OR JORGE NOEL Y. WIENEKE   | 30,000                  | 0.05%             |
| 16          | FELIX B. CHAVEZ OR AIDA T. CHAVEZ OR IRENE T. CHAVEZ  | 29,400                  | 0.04%             |
| 17          | ANGELES Z. LORAYES  | 25,000                  | 0.04%             |
| 18          | MARIANELA ALDEGUER WIENEKE  | 25,000                  | 0.04%             |
| 19          | ALFONSO SY TEH  | 22,000                  | 0.03%             |
| 20          | ALMIRA JAZMIN P. ESGUERRA   | 20,000                  | 0.03%             |
| 21          | MARGARITA B. FRAGANTE   | 20,000                  | 0.03%             |
| 22          | PHILIPPINE BRITISH ASSURANCE CO., INC.  | 20,000                  | 0.03%             |
| 23          | IRENEO A. RAULE JR. &/OR VALERIE F. RAULE   | 20,000                  | 0.03%             |
| 24          | FRANCISCO G. SARMENTA &/OR ROSARIO G. SARMENTA  | 12,500                  | 0.02%             |
| 25          | JOHN FRANCIS G. SARMENTA &/OR ROSARIO G. SARMENTA   | 12,500                  | 0.02%             |
| 26          | LUIS FRANCISCO G. SARMENTA &/OR ROSARIO G. SARMENTA   | 12,500                  | 0.02%             |
| 27          | MARIA CLARISSA G. SARMENTA &/OR ROSARIO G. SARMENTA   | 12,500                  | 0.02%             |
| 28          | ELIZABETH M. CANLAS   | 10,000                  | 0.02%             |
| 29          | ARTHUR A. DE GUIA   | 10,000                  | 0.02%             |
| 30          | ARDEL L. FADRI &/OR MILAGROS DELA VEGA FADRI  | 10,000                  | 0.02%             |
| 31          | BENJAMIN K. LIBORO  | 10,000                  | 0.02%             |
| 32          | ANTHONY M. MABASA   | 10,000                  | 0.02%             |
| 33          | EMELITA D. SABELLA  | 10,000                  | 0.02%             |
| 34          | MA. SOCORRO C. MIGUEL &/OR NEHEMIAS U. MIGUEL &/OR DAVID ALEXANDER C. MIGUEL &/OR DANIEL ALFONSO C. MIGUEL &/OR DEANE ABIGAIL C. MIGUEL | 9,800                   | 0.01%             |
| 35          | LORNA M. RAYOS DEL SOL  | 7,500                   | 0.01%             |
| 36          | FELIX BELLEN CHAVEZ OR AIDA TANG LEE SAY CHAVEZ OR IRENE TANG LEE SAY CHAVEZ  | 5,000                   | 0.01%             |
| 37          | CITICREST, INC.   | 5,000                   | 0.01%             |
| 38          | ANA MARIA S. DEL ROSARIO  | 5,000                   | 0.01%             |
| 39          | MARIANO VICENTE LIM TAN   | 5,000                   | 0.01%             |
| 40          | TIONG CHAY T. TIU &/OR ROSITA C. TIU  | 5,000                   | 0.01%             |
| 41          | WILFREDO T. CAPIL   | 3,000                   | 0.00%             |
| 42          | ANGELA L. GUINGONA  | 3,000                   | 0.00%             |
| 43          | IGOR SIMON MONTALVO KORIONOFF &/OR AILEEN MENDOZA KORIONOFF   | 3,000                   | 0.00%             |
| 44          | AGNES LEONOR C. OXALES  | 3,000                   | 0.00%             |
| 45          | GILBERT C. TIU  | 3,000                   | 0.00%             |
| 46          | PAZ MERCEDES L. VARGAS  | 3,000                   | 0.00%             |
| 47          | MANILA BANKERS LIFE INSURANCE CORPORATION   | 2,550                   | 0.00%             |
| 48          | IRIS VERONICA GO LIM  | 2,500                   | 0.00%             |

**FIRST GEN CORPORATION  
LIST OF TOP 100 STOCKHOLDERS  
AS OF JUNE 30, 2021  
SERIES G PREFERRED SHARES**

| <b>RANK</b>                                | <b>NAME</b>  | <b>NUMBER OF SHARES</b> | <b>PERCENTAGE</b> |
|--|--|-------------------------|-------------------|
| 49   | BENJAMIN ERNESTO R. LOPEZ  | 2,500                   | 0.00%             |
| 50   | ELIZABETH PARULAN &/OR MICHELLE &/OR JUANITO &/OR RAYMUND                          | 2,000                   | 0.00%             |
| 51   | KATHERINE ROSE CHUA TIU  | 2,000                   | 0.00%             |
| 52   | DELIA J. FUENTESPINA   | 1,000                   | 0.00%             |
| 53   | EFREN P. IMPRESO   | 1,000                   | 0.00%             |
| 54   | DANILO C. LACHICA &/OR MA. BERNARDITA F. LACHICA                                   | 1,000                   | 0.00%             |
| 55   | JAVIER EMMANUEL LORENZO L. VARGAS  | 1,000                   | 0.00%             |
| 56   | JORGE ERNESTO MIGUEL L. VARGAS   | 1,000                   | 0.00%             |
| 57   | JOSE EUGENIO MARTIN L. VARGAS  | 1,000                   | 0.00%             |
| 58   | MARIANNA CARMELA ISABEL L. VARGAS  | 1,000                   | 0.00%             |
| 59   | GIDEON MACABUHAY LIBIRAN   | 785                     | 0.00%             |
| 60   | ANGELO DE GUZMAN MACABUHAY   | 785                     | 0.00%             |
| 61   | ROMMEL DE GUZMAN MACABUHAY   | 785                     | 0.00%             |
| 62   | RAFAEL ABELLO &/OR MA. PRESENTACION L. ABELLO ITF SAMANTHA<br>ELVIRA L. ABELLO     | 500                     | 0.00%             |
| 63   | RAFAEL ABELLO &/OR MA. PRESENTACION L. ABELLO ITF RAFAELA<br>PACITA L. ABELLO      | 500                     | 0.00%             |
| 64   | RAFAEL ABELLO &/OR MA. PRESENTACION L. ABELLO &/OR VERONICA<br>ANTONIA L. ABELLO   | 500                     | 0.00%             |
| 65   | RAFAEL ABELLO &/OR MA. PRESENTACION L. ABELLO &/OR GABRIELLA<br>CONSUELO L. ABELLO | 500                     | 0.00%             |
| 66   | RAFAEL ABELLO &/OR MA. PRESENTACION L. ABELLO &/OR MARIA<br>KATRINA L. ABELLO      | 500                     | 0.00%             |
| 67   | EINSTEIN O. CHIU   | 500                     | 0.00%             |
| 68   | EDWIN A. JOSEF   | 500                     | 0.00%             |
| 69   | OSCAR R. LOPEZ, JR. &/OR CARLOS ROBERTO EUGENIO LL. LOPEZ                          | 500                     | 0.00%             |
| 70   | OSCAR R. LOPEZ, JR. ITF JAIME LORENZO LL. LOPEZ                                    | 500                     | 0.00%             |
| 71   | OSCAR R. LOPEZ, JR. ITF JAVIER LUIS LL. LOPEZ                                      | 500                     | 0.00%             |
| 72   | OSCAR R. LOPEZ, JR. ITF JOSEF LEANDRO LL. LOPEZ                                    | 500                     | 0.00%             |
| 73   | OSCAR R. LOPEZ, JR. &/OR OSCAR DIONISIO MARTIN LL. LOPEZ, III                      | 500                     | 0.00%             |
| 74   | BEATRIZ EUGENIA PUNO &/OR RODERICO EUGENIO L. PUNO, JR.                            | 500                     | 0.00%             |
| 75   | BEATRIZ EUGENIA L. PUNO &/OR ROMAN OSCAR JAVIER L. PUNO                            | 500                     | 0.00%             |
| 76   | BEATRIZ EUGENIA L. PUNO &/OR ROCIO PACITA L. PUNO                                  | 500                     | 0.00%             |
| 77   | BEATRIZ EUGENIA L. PUNO &/OR RAYA ISABEL L. PUNO                                   | 500                     | 0.00%             |
| 78   | MA. CELESTE MATIENZO TAN   | 500                     | 0.00%             |
| 79   | CECILIA FERRER UY  | 500                     | 0.00%             |
| 80   | GRACE MACABUHAY SEGOVIA  | 390                     | 0.00%             |
| <b>TOTAL ISSUED AND OUTSTANDING SHARES</b> |  | <b>66,603,840</b>       | <b>100.00%</b>    |

**PCD BENEFICIAL OWNERSHIP REPORT**

**TRADING PARTICIPANT**

|   | <b>NUMBER OF SHARES</b> | <b>PERCENTAGE</b> |
|---|-------------------------|-------------------|
| CITIBANK N.A.                               | 12,900,280              | 19.37%            |
| BDO SECURITIES CORPORATION                  | 11,245,851              | 16.88%            |
| RCBC SECURITIES, INC.                       | 5,408,250               | 8.12%             |
| BANCO DE ORO - TRUST BANKING GROUP          | 3,579,460               | 5.37%             |
| SB EQUITIES, INC.                           | 2,748,720               | 4.13%             |
| BPI SECURITIES CORPORATION                  | 2,096,090               | 3.15%             |
| ASIA UNITED BANK - TRUST & INVESTMENT GROUP | 1,783,680               | 2.68%             |
| UNITED COCONUT PLANTERS BANK-TRUST BANKING  | 1,110,210               | 1.67%             |
| R. COYIUTO SECURITIES, INC.                 | 1,016,260               | 1.53%             |
| PNB TRUST BANKING GROUP                     | 652,500                 | 0.98%             |

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**SERIES G PREFERRED SHARES**

| <b>RANK</b> | <b>NAME</b>   | <b>NUMBER OF SHARES</b> | <b>PERCENTAGE</b> |
|-------------|---|-------------------------|-------------------|
|             | EASTWEST BANKING CORPORATION - TRUST DIVISION           | 595,000                 | 0.89%             |
|             | FIRST ORIENT SECURITIES, INC.                           | 489,840                 | 0.74%             |
|             | STRATEGIC EQUITIES CORP.                                | 412,900                 | 0.62%             |
|             | MAYBANK ATR KIM ENG SECURITIES, INC.                    | 309,650                 | 0.46%             |
|             | MALAYAN INSURANCE COMPANY, INC.                         | 300,000                 | 0.45%             |
|             | SOLAR SECURITIES, INC.                                  | 291,700                 | 0.44%             |
|             | RCBC TRUST & INVESTMENT DIVISION                        | 289,860                 | 0.44%             |
|             | AB CAPITAL SECURITIES, INC.                             | 266,320                 | 0.40%             |
|             | UNICAPITAL SECURITIES INC.                              | 251,910                 | 0.38%             |
|             | LUCKY SECURITIES, INC.                                  | 249,100                 | 0.37%             |
|             | ABACUS SECURITIES CORPORATION                           | 248,590                 | 0.37%             |
|             | WEALTH SECURITIES, INC.                                 | 229,010                 | 0.34%             |
|             | COL Financial Group, Inc.                               | 217,175                 | 0.33%             |
|             | AB CAPITAL & INVESTMENT CORP. - TRUST & INVESTMENT DIV. | 213,010                 | 0.32%             |
|             | NATIONAL REINSURANCE CORPORATION OF THE PHILIPPINES     | 200,000                 | 0.30%             |
|             | UPCC SECURITIES CORP.                                   | 193,360                 | 0.29%             |
|             | INVESTORS SECURITIES, INC,                              | 165,060                 | 0.25%             |
|             | ASTRA SECURITIES CORPORATION                            | 163,930                 | 0.25%             |
|             | FIRST METRO SECURITIES BROKERAGE CORP.                  | 159,570                 | 0.24%             |
|             | G.D. TAN & COMPANY, INC.                                | 155,860                 | 0.23%             |
|             | GOLDSTAR SECURITIES, INC.                               | 155,260                 | 0.23%             |
|             | QUALITY INVESTMENTS & SECURITIES CORPORATION            | 124,700                 | 0.19%             |
|             | ASIASEC EQUITIES, INC.                                  | 113,800                 | 0.17%             |
|             | SUMMIT SECURITIES, INC.                                 | 110,300                 | 0.17%             |
|             | INTRA-INVEST SECURITIES, INC.                           | 105,260                 | 0.16%             |
|             | AAA SOUTHEAST EQUITIES, INCORPORATED                    | 105,260                 | 0.16%             |
|             | COHERCO SECURITIES, INC.                                | 105,260                 | 0.16%             |
|             | CTS GLOBAL EQUITY GROUP, INC.                           | 101,000                 | 0.15%             |
|             | CLSA PHILIPPINES, INC.                                  | 100,000                 | 0.15%             |
|             | DEUTSCHE BANK MANILA-CLIENTS A/C                        | 100,000                 | 0.15%             |
|             | TOWER SECURITIES, INC.                                  | 95,260                  | 0.14%             |
|             | UCPB SECURITIES, INC.                                   | 88,320                  | 0.13%             |
|             | A & A SECURITIES, INC.                                  | 86,000                  | 0.13%             |
|             | EQUITIWORLD SECURITIES, INC.                            | 81,900                  | 0.12%             |
|             | ARMSTRONG SECURITIES, INC.                              | 80,000                  | 0.12%             |
|             | CHINA BANKING CORPORATION - TRUST GROUP                 | 79,560                  | 0.12%             |
|             | LOPEZ, LOCSIN, LEDESMA & CO., INC.                      | 79,410                  | 0.12%             |
|             | YAO & ZIALCITA, INC.                                    | 75,400                  | 0.11%             |
|             | UNITED COCONUT PLANTERS LIFE ASSURANCE CORPORATION      | 70,000                  | 0.11%             |
|             | ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.        | 63,260                  | 0.09%             |
|             | E. CHUA CHIACO SECURITIES, INC.                         | 56,900                  | 0.09%             |
|             | AP SECURITIES INCORPORATED                              | 55,320                  | 0.08%             |
|             | MERIDIAN SECURITIES, INC.                               | 48,030                  | 0.07%             |
|             | BANK OF COMMERCE - TRUST SERVICES GROUP                 | 45,460                  | 0.07%             |
|             | DBP-DAIWA CAPITAL MARKETS PHILPPINES, INC.              | 41,500                  | 0.06%             |
|             | STERLING BANK OF ASIA TRUST GROUP                       | 40,000                  | 0.06%             |
|             | PHILSTOCKS FINANCIAL INC                                | 36,749                  | 0.06%             |
|             | REGINA CAPITAL DEVELOPMENT CORPORATION                  | 34,460                  | 0.05%             |
|             | JSG SECURITIES, INC.                                    | 34,000                  | 0.05%             |
|             | GLOBALINKS SECURITIES & STOCKS, INC.                    | 32,730                  | 0.05%             |
|             | YU & COMPANY, INC.                                      | 31,000                  | 0.05%             |
|             | AURORA SECURITIES, INC.                                 | 30,500                  | 0.05%             |
|             | OPTIMUM SECURITIES CORPORATION                          | 27,500                  | 0.04%             |

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| <b>RANK</b> | <b>NAME</b>  | <b>NUMBER OF SHARES</b> | <b>PERCENTAGE</b> |
|-------------|--|-------------------------|-------------------|
|             | IGC SECURITIES INC.  | 25,000                  | 0.04%             |
|             | DAVID GO SECURITIES CORP.                                    | 21,500                  | 0.03%             |
|             | PAPA SECURITIES CORPORATION                                  | 21,350                  | 0.03%             |
|             | MANDARIN SECURITIES CORPORATION                              | 21,300                  | 0.03%             |
|             | EASTERN SECURITIES DEVELOPMENT CORPORATION                   | 21,100                  | 0.03%             |
|             | RCBC TRUST & INVESTMENT DIVISION - VARIOUS TAXABLE ACCTS     | 20,500                  | 0.03%             |
|             | BELSON SECURITIES, INC.                                      | 20,000                  | 0.03%             |
|             | TRITON SECURITIES CORP.                                      | 20,000                  | 0.03%             |
|             | LUYS SECURITIES COMPANY, INC.                                | 20,000                  | 0.03%             |
|             | R. S. LIM & CO., INC.  | 20,000                  | 0.03%             |
|             | IMPERIAL,DE GUZMAN,ABALOS & CO.,INC.                         | 16,000                  | 0.02%             |
|             | CHINA BANK SECURITIES CORPORATION                            | 15,200                  | 0.02%             |
|             | GUILD SECURITIES, INC.                                       | 15,100                  | 0.02%             |
|             | ALAKOR SECURITIES CORPORATION                                | 10,460                  | 0.02%             |
|             | CAMPOS, LANUZA & COMPANY, INC.                               | 10,000                  | 0.02%             |
|             | HDI SECURITIES, INC.   | 10,000                  | 0.02%             |
|             | F. YAP SECURITIES, INC.                                      | 8,150                   | 0.01%             |
|             | PREMIUM SECURITIES, INC.                                     | 8,000                   | 0.01%             |
|             | STANDARD SECURITIES CORPORATION                              | 7,000                   | 0.01%             |
|             | APEX PHILIPPINES EQUITIES CORPORATION                        | 6,750                   | 0.01%             |
|             | S.J. ROXAS & CO., INC.                                       | 5,260                   | 0.01%             |
|             | STANDARD CHARTERED BANK                                      | 5,200                   | 0.01%             |
|             | SINCERE SECURITIES CORPORATION                               | 5,000                   | 0.01%             |
|             | EVERGREEN STOCK BROKERAGE & SEC., INC.                       | 5,000                   | 0.01%             |
|             | R. NUBLA SECURITIES, INC.                                    | 5,000                   | 0.01%             |
|             | PNB SECURITIES, INC.   | 4,810                   | 0.01%             |
|             | SunSecurities, Inc.  | 4,340                   | 0.01%             |
|             | MERCANTILE SECURITIES CORP.                                  | 4,000                   | 0.01%             |
|             | ANSALDO, GODINEZ & CO., INC.                                 | 3,000                   | 0.00%             |
|             | GOLDEN TOWER SECURITIES & HOLDINGS, INC.                     | 3,000                   | 0.00%             |
|             | VSEC.COM, INC.   | 2,000                   | 0.00%             |
|             | MBTC - TRUST BANKING GROUP                                   | 1,800                   | 0.00%             |
|             | DIVERSIFIED SECURITIES, INC.                                 | 1,000                   | 0.00%             |
|             | VENTURE SECURITIES, INC.                                     | 1,000                   | 0.00%             |
|             | SARANGANI SECURITIES, INC.                                   | 880                     | 0.00%             |
|             | TIMSON SECURITIES, INC.                                      | 740                     | 0.00%             |
|             | THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT. | 100                     | 0.00%             |
|             | EAGLE EQUITIES, INC.   | 60                      | 0.00%             |
|             | <b>TOTAL PCD TRADING PARTICIPANTS</b>                        | <b>50,746,845</b>       | <b>76.19%</b>     |